

STARTING OR ADDING TO YOUR OLD MUTUAL INTERNATIONAL EXECUTIVE WEALTHBUILDER ACCOUNT

Old Mutual International Account number (if reserved or if adding to an existi		Wealthbuild	der Acco	unt)			
Financial adviser de	etails						
Old Mutual International account number							
Name of financial adviser							
Company name							
Address							
Telephone number							
Fax number							
E-mail address							
Old Mutual International					duced	d by	

For the individual investor

June 2014

Not available in Hong Kong, Singapore, UK and USA

This document was last reviewed in June 2014. Please confirm with your financial adviser that this is the most up-to-date document for your product or servicing needs.

We only sell our products through financial advisers as we believe it is important you receive independent financial advice. As it is you who chooses your financial adviser, you need to bear in mind that they are acting as your agent and not as an agent for Old Mutual International. You are responsible for their actions or omissions.

All references to Old Mutual International, we, us and our in this application form mean Old Mutual International Isle of Man Limited. For ease of reference all mentions to the Executive Wealthbuilder Account in this application form mean both Executive Wealthbuilder Account and Executive Wealthbuilder Account Redemption.

For simplicity all references to account, in this application, can also mean policy. For example, account currency also means policy currency. Also, all references to contribution also mean premium.

TAXATION INFORMATION

Under Automatic Exchange of Information (AEOI) regulations Old Mutual International is required to obtain information about an applicant's tax status. To enable us to comply with these regulations, when submitting this application form you must also submit the 'Taxation information and self-certification - for individual investors'. Completion and submission of a self-certification is mandatory and failure to provide one could result in your Portfolio being reported under AEOI by default. If any of the information contained in the self-certification changes please advise Old Mutual International promptly so we can determine if a new self-certification is required.

IMPORTANT INFORMATION FOR YOU - THE APPLICANT

This application form is for individual applicants only. Please note this application form must not be used by applicants resident in the United Kingdom, Hong Kong, Singapore or the United States of America or its territories.

Before completing the application form, please make sure you receive and read through the relevant product information:

The brochure entitled 'Individual investment freedom',

- The policy terms 'Details of your Executive Wealthbuilder Account (ref EWR2 for capital redemption and ref EWA4 for life assurance)
- 'The Executive Wealthbuilder Account at a glance', and
- The addendum to the Old Mutual International literature pack 'Important Information for clients. (Only applicable for DFSA regulated adviser firms)'.

For ease of reference we have used an arrow ▶ to highlight certain facts and sometimes to let you know that you can find further information and explanations in the guidance notes for starting or adding to your Old Mutual International Executive Wealthbuilder Account – for the individual investor (referred to as the guidance notes).

If the guidance notes are not attached to this application form please ask your financial adviser for a copy.

COMPLETING THE FORM

- To complete this form:
- Use CAPITAL LETTERS only Complete all relevant sections
- Use blue or black ink
- Specify choices as appropriate
- Do not use correction fluid; any amendments should be crossed out, dated and initialled by all applicants.

Please note that we must receive your application form by post or courier, we are unable to accept faxed or e-mailed versions. We will contact you regarding any missing information which will need to be provided to us in writing, and this may delay your application.

A TYPE OF APPLICATION

NEW INVESTMENT

If this is an application for a NEW Executive Wealthbuilder Account, please indicate which type of account you are applying for (🗸)

Please refer to section A of the guidance notes which explain the difference between the two types of account. THE TYPE OF ACCOUNT CANNOT BE CHANGED AFTER IT HAS BEEN SET UP.

Life assurance Capital redemption

CHANGES TO CONTRIBUTION (IF YOUR EXECUTIVE WEALTHBUILDER ACCOUNT STARTED WITH A SINGLE LUMP SUM CONTRIBUTION THEN YOU CANNOT ADD REGULAR CONTRIBUTIONS TO IT)

If this is an application to change your total regular contribution, or make additional lump sum contribution payments please provide your existing Executive Wealthbuilder Account number: (you can find this in your schedule)

Unless your details have changed, you only need to complete the full forename(s) and surname of each applicant and life assured in section B and C (if applicable). YOU MUST ALSO COMPLETE SECTIONS D, E, F, G (IF APPLICABLE), H, AND K (IF APPLICABLE). SECTION J, THE FINANCIAL ADVISER/SUITABLE CERTIFIER SECTION MUST BE COMPLETED IN ALL INSTANCES.

B DETAILS OF INDIVIDUAL APPLICANT(S)

In this section, please give details of all individual applicant(s). Applicants must be at least 18 years old and the maximum age is 89. If there are any further applicants, please photocopy this page, attach the details with this application form and tick here (\checkmark)

▶If additional pages are added, each separate page must be initialled by all applicants.

	APPLICANT 1	l		APPLICANT	2 (IF ANY)	
Title (✓)	Mr Other	O Mrs	◯ Miss	O Mr	Mrs	O Miss
Full forename(s)						
Surname						
Previous name or alias ► Please refer to section B of the guidance notes Sex (✓)	Male		- Female	Male		◯ Female
Date of birth	D D M	M Y Y	YYY	D D M	M Y Y	YYY
Occupation (if you have retired, please include your occupation before retirement) Nationality						
Dual nationality (if applicable) Passport number/ national identity card number						
Country of residence						

D DETAILS OF								
	APPLICANT 1				APPLICANT	2 (IF ANY)		
Correspondence address								
Please refer to section B of								
the guidance notes	Postcode							
				ence to the address pr	ovided. Old Mutua	I International reserve	s the right to send corres	spondence to
Telephone number including area code (dayt	ime)							
Telephone number including area code (even	ing)							
E-mail address								
Reason for investment (for example, saving for retirement)								
►You only need to	complete this	if you hav	e selected life o	issurance in s	ection A.			
Is this applicant also goi to be a life assured? (~)	ng OYes		No		◯ Yes		◯ No	
POLITICALLY EXPOS	ED PERSON							
							a politically expos	ed person
(PEP), or connected with	a PEP, please pr	ovide details.	▶ For examples of wha	t a PEP is please refer	to section B of the	guidance notes		
EXISTING CONTRAC	т							
Please provide details of	any existing Old	l Mutual Interi	national contract y	ou have or are n	naking payme	nts to (if applicable)		
	Type of contro	ıct			Account nu	nber		
ALL APPLICANTS MU	,,,		I THE DECLARA					
		EEDED TO E	VIDENCE YOUR	IDENTITY - PL	EASE REFER	TO SECTION E	B OF THE GUIDA	NCE
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need to complete this sec	tion if the applic	ant(s) will be t						
It there are any turther li If additional pages are a	ves assured, plea udded, each sepc	ise photocopy irate page mu	this page, attach st be initialled by a	the details with t all applicants.	his applicatior	torm and tick h	ere (✔)	C
	FIRST ADDITI		ASSURED (IF AN	IY)	SECOND A		FE ASSURED (IF	ANY)
Title (✔)	Mr	Mrs	Miss		Mr	Mrs	Miss	
			0		Other		0	
Full forename(s)								
Surname								
Sex (✓)	O Male		🗌 Female		O Male		O Female	
Date of birth	D D M	MYY	YY		D D N	M Y Y	YY	
Residential address (where you are currently living)								
. , , , , ,								
	to section B of e notes Postcode International accepts no responsibility for the consequences of sending correspondence to number rea code (devine) number rea code (devine) reas investment axing for No ALLY EXPOSED PERSON Cant(s), or any other party connected to this application either now onnected with a PEP, please provide details. ► for examples of what a PE G CONTRACT vide details of any existing Old Mutual International contract you h Type of contract UCANTS MUST COMPLETE AND SIGN THE DECLARATIOP DNAL DOCUMENTS ARE NEEDED TO EVIDENCE YOUR IDE FOR FURTHER DETAILS. TAILS OF THE LIFE/LIVES ASSURED (IF DIFFE ion should only be completed if you have selected the mplete this section C of the guidance notes which have n or ony further lives assured; please photocopy this page, attach the c al pages are added, each separate page must be initialled by all a FIRST ADDITIONAL LIFE ASSURED (IF ANY) Mr			Postcode				
Nationalit								
Nationality Dual nationality								
(if applicable)								
Relationship to applicant(s)								

	THIRD ADD	ITIONAL LIFE ASSURED (IF ANY)	FOURT	H ADDITIONAL LIFE ASSURED (IF ANY
tle (✓)	◯ Mr	Mrs Miss	◯ Mr	Mrs Miss
	Other		Oth	ner
l forename(s)				
rname				
< (✓)	O Male	O Female		le 🛛 Female
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Please show your Please show your Please state the co Before completing contribution, ie yo Executive Wealth your premiums/co CCOUNT CURRENC (1)	THEN YOU C revised tot ontribution for our minimur builder Acco ontributions CY E OT BE CHANC	ANNOT ADD REGULAR CONTRIBUTIONS al regular contribution amount. frequency you have chosen, when n, please refer to section D of the gin investment amount, and full deta ount and your chosen funds must be in. US\$ GED AFTER YOUR EXECUTIVE WEALTHBUIL	TO IT) you set up you uidance notes ils regarding a denominate DER ACCOUNT (Minimum amount)	our Executive Wealthbuilder Account s for information about paying in yo the different payment methods. You ed in the same currency that you pay

Additional lump sum c	ontribution										
			(Minir	num ama	ount £1,0	000/US\$1	,500/	€1,50	0)		
Revised regular contribution (Total amount)		Monthly			Qua	rterly					
		(Minimum amount £50/US\$75/€75)			(Minimum amount £150/US\$225/€225)						
		Half-yearly			Yea	rly					
		(Minimum amount £300,	/US\$450/€450)		(Mini	mum amo	unt £60)0/US	\$900/#	€900)	
Lump sum payment method (🗸)	Credit card	Standing order	Cheque	\bigcirc	Electro	nic bank	transfe	r			
Regular payment method (✔)	Credit card	Standing order	Cheque	\bigcirc	Electro	nic bank	transfe	r			

D CONTRIBUTION DETAILS (IF YOUR EXECUTIVE WEALTHBUILDER ACCOUNT STARTED WITH A SINGLE LUMP SUM CONTRIBUTION THEN YOU CANNOT ADD REGULAR CONTRIBUTIONS TO IT)(CONTINUED)

CHEQUE (IF YOU HAVE SELECTED CHEQUE AS PAYMENT METHOD ABOVE)

I/We,

attach a cheque made payable to Old Mutual International Isle of Man Limited for the sum of \mathcal{L}/US (\neq^* *delete as appropriate

Please send cheques attached to this application directly to Old Mutual International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man IM99 1NU, British Isles.

- The contribution payment must come from an account in the name of the applicant(s). Cheques should be made payable to Old Mutual International Isle of Man Limited.
- Please remember to enclose with this application either your cheque, copy of receipt of your electronic bank transfer/standing order payment (for banking details see section D of the guidance notes), credit card payment authority or your share exchange form (your financial adviser can provide you with the necessary details) with this application form.

E ORIGIN OF WEALTH

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES. PLEASE NOTE THIS SECTION CONTINUES ON THE FOLLOWING THREE PAGES.

SOURCE OF FUNDS

The contribution payment must come from an account held in the name of the applicant(s).

Bank account holder(s) (Name as stated on bank account)		
Bank account number/ IBAN*		
Sort code** (if applicable)		
SWIFT or BIC code** (if applicable)		
Bank name		
Bank address		
		_
	Postcode	
Country		
How long have you held	this account? years months	

* IBAN stands for international bank account number and is always used in conjunction with a bank identifier code (BIC).

** A sort code is used in the UK in conjunction with a bank account number. A SWIFT code is used outside Europe in conjunction with a bank account number. A BIC code is used in Europe in conjunction with an IBAN.

If you are paying your contribution by credit card, please provide your credit card account details on page 17 of this application form.

E ORIGIN OF WEALTH (CONTINUED)

EMPLOYER DETAILS APPLICANT 1

APPLICANT 2 (IF ANY)

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES.

Please give details of your employer or your own company if self-employed.

If you have retired, please include details of your previous employer or your own company. Please also enter your final year's salary/income and bonus if any before retirement.

Name of employer or your own company		
Address of employer or your own company		
Website address of employer or your own company (if any)		
Occupation		
Last year's gross salary /income (state currency and amount)		
Last year's bonus (if applicable, state currency and amount)		
If you receive income from another source, eg dividends, interest, please provide details here.		

FULL DETAILS OF ORIGIN OF WEALTH

PLEASE TICK THE DESCRIPTION OPTION(S) RELATING TO THE ORIGINAL SOURCE OF THE CONTRIBUTION FOR YOUR ACCOUNT. YOU MUST FULLY COMPLETE EACH RELEVANT SECTION RELATING TO THE DESCRIPTION OPTION(S) YOU HAVE TICKED.

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES

(√)	Description	Details required	Your details
\bigcirc	Regular income from employment or Regular income from your business (ie if	Details of last year's income and bonus as declared under Employer details above	
	self-employed)		continued

FULL DETAILS OF ORIGIN OF WEALTH

PLEASE TICK THE DESCRIPTION OPTION(S) RELATING TO THE ORIGINAL SOURCE OF THE CONTRIBUTION FOR YOUR ACCOUNT. YOU MUST FULLY COMPLETE EACH RELEVANT SECTION RELATING TO THE DESCRIPTION OPTION(S) YOU HAVE TICKED.

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES

(⁄)	Description	Details required	Your details
0	Sale of shares Maturing investments	Name of company that held your shares/investment/policy (ie bank, stockbroker or insurance company)	
\bigcirc	Policy claim	Name of person who held the shares/ investment policy	
\bigcirc	Replacement policy	How were they sold? (ie bank, stockbroker or other agent, if applicable)	
		Description of shares sold (if applicable)	
		Reason for policy claim or replacement policy (if applicable)	
		T . 1	
		Total amount paid out	Currency:
		Surrender penalty incurred (if any)	
		Date received	
		Length of time the shares/investment/ policy held	
\bigcirc	Sale of property	Address of property sold (including postcode if applicable)	
		Total sale amount	Currency:
			Amount:
		Date of sale	D D M M Y Y Y
\bigcirc	Other unearned income	Origin of wealth (if ticked other)	
\bigcirc	Sale of interest in company	From which organisation or whom (state the relationship if applicable)	
\bigcirc	Inheritance	Details (including reasons where applicable, how the money was acquired, etc)	
\bigcirc	Loan		
\bigcirc	Gift		
\bigcirc	Compensation	Total amount	Currency:
\bigcirc	Competition or gambling win		Amount:
\bigcirc	Other	Date received	D D M M Y Y Y Y

We may also require additional documentary evidence to support your application, particularly in relation to your country of residence and investment amount, before we can process it. Your financial adviser can establish if further documentary evidence is needed before sending in your application form. For a list of the types of documentary evidence we may need, please refer to section E of the guidance notes.

Please enter what documentary evidence you are enclosing with this application form (if applicable).

F INVESTMENT CHOICE

Please use this section to list the funds which your contribution should be invested in. We have shown the OMI IM GBP Deposit Fund as an example. Your Executive Wealthbuilder Account and your chosen funds must be denominated in the same currency that you pay your contributions in. You can invest a minimum of 1% in any fund (whole numbers only) and up to 10 funds.

Before completing this section it is important you read through the information in section F of the guidance notes about selecting your investment choice, what information you need to provide us with in the table below and details of the investment restrictions which apply.

PLEASE NOTE IF WE DO NOT RECEIVE SUFFICIENT DETAILS, THIS WILL DELAY YOUR INVESTMENT.

INVESTMENT CHOICE

Lump sum contribution

Fund number	Fund name – please enter names in full.	Lump contr (whole number	%		
For example, 85012	For example, OMI IM GBP Deposit				
	TOTAL:		0	0	

Regular contribution

Fund number	Fund name – please enter names in full.	(who	Regular contributio (whole % numbers only)			
For example, 85012 For example, OMI IM GBP Deposit	For example, OMI IM GBP Deposit					
	TOTAL:	1	0	0		

In the absence of complete investment instructions, or if a selected fund is not currently available, Old Mutual International will make efforts to obtain valid investment instructions. Failing which Old Mutual International will allocate part or all of the contributions to a deposit-based fund.

Please remember to refer to section F of the guidance notes to make sure you provide us with all the information we need to invest your contribution without delay.

G REGULAR WITHDRAWALS (OPTIONAL)

Please complete this section if you wish to receive regular withdrawals from your account. NOTE: we do not recommend you make regular withdrawals while you pay regular contributions into your account. Withdrawals must be in the same currency as the Executive Wealthbuilder Account.

- Please read section G of the guidance notes for important information about setting up regular withdrawals and any taxation which may apply.
- Please note that the minimum payment for any regular withdrawal payment, regardless of frequency, is £100 (or other currency equivalent).

Additional investments: if this is an application for an additional contribution into your existing Executive Wealthbuilder Account and you wish to change the amount of withdrawal on your Executive Wealthbuilder Account, please enter the revised total withdrawal amount required. If this is a new request for withdrawals you can base a percentage amount on either your original or total contribution paid. We do not recommend you make regular withdrawals while you pay regular contributions into your Executive Wealthbuilder Account.

WITHDRAWAL AMOUNT

																_								
Amount to be withdr	awn ea	ach y	ear																					
or Percentage of contrib	oution to	o be	with	ndrav	wn e	ach	yea	r																%
Percentage to be bas	ed on y	your	(√)	0	origina	al con	tribut	tion	or	С) tot	al cor	ntribu	utior	n inve	estec	d in t	he ac	count					_
Withdrawal frequend	: y (√)	\bigcirc	Mo	nthly	C	Q	arter	ly	\bigcirc	Half-y	early	C) Y	early	,									
Date of first payment	ł																D	D	M	Μ	Y	Y	Y	' Y
The first withdrawal can o reference to the account st			t leas	t one	montl	n afte	r the	accoi	unt si	arts. I	no d	late is	s spe	ecifie	ed, th	ie re	gula	r payı	nent o	date	will k	be fi	xed	by
PAYEE DETAILS																								
Please note if the p of funds for your of documentation. This Until further notice, I/we w Bank account holder (Name as stated on bank account)	ontribu is may	tion, delay	as c y the	detai e pay	led i /mer	n se nt yo	ction u hc	i E of ave r	f thi	s app	olicat												e	
Bank account number/ IBAN*																								
Sort code** (if applicable)		-			-												e for ymen	ts						
SWIFT or BIC code** (if applicable)														AB	A nu	ımbe	er							
Personal address of bank account holder(s)																								
	Postcod	е																						
	Country																							

* IBAN stands for international bank account number and is always used in conjunction with a bank identifier code (BIC).

** A sort code is used in the UK in conjunction with a bank account number. A SWIFT code is used outside Europe in conjunction with a bank account number. A BIC code is used in Europe in conjunction with an IBAN.

Bank name and address	
	Postcode
	Country

н **DECLARATION AND APPLICATION**

DATA PRIVACY NOTICE - CONSENT BY EACH LIFE ASSURED AND THE APPLICANT(S)

Applicable for life assurance version only - please note that if each life assured has not signed the application, the applicant confirms that he/she has obtained the consent of each life assured to this Data Privacy Notice and is signing the application as his/her agent as well as applicant.

Old Mutual International Isle of Man Limited will process information ('Personal Data') about me and any other party whose Personal Data has been supplied. The processing of Personal Data will take place in a number of jurisdictions. Personal Data will be processed and may be released to other parties within or outside the Old Mutual Group for the following purposes to:

- Check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering terrorist financing, bribery or fraud;
- Allow for the provision of services relating to reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided to policyholders from time to time;
- Enable an appointed financial adviser, financial adviser representative, or Investment Adviser to assist in provision of services to the policyholder;
- Compile statistical analysis or market research, where information is not being specific to the individual; Comply with any legal obligation which includes the releasing of Personal Data to regulators, law enforcement authorities or other bodies where it is a legal requirement to do so, including the sharing of information under regulations relating to the U.S. Foreign Account Tax Compliance Act and OECD Common Reporting Standards.

Where Personal Data is released to a third party for the provision of services relating to a Policy, the Personal Data will only be used for the purposes for which it is released and will be subject to adequate security and protection. In some circumstances this may involve a transfer of data to a third party outside the European Economic Area (EEA).

On receipt of a request to do so and on the payment of a small fee a copy of an individual's Personal Data will be provided to that individual. Any inaccuracies in an individual's Personal Data records will be rectified.

Any questions about Data Privacy should be addressed to the Data Protection Officer, Old Mutual International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

IMPORTANT INFORMATION

Please read the following declaration carefully.

Any omission or misstatement of a material fact in this application could affect the payment of benefits under the Executive Wealthbuilder Account. A material fact is one which is likely to influence the assessment and acceptance of the application. If you are uncertain whether a fact is material, you should give full details so that we can assess its possible significance. If you become aware of such a fact while we are considering your application, you should notify us immediately. You should satisfy yourself that under any taxation, exchange control or insurance law to which you may be subject, you are able to effect the proposed contract.

DECLARATION BY EACH APPLICANT

- 1. I understand and agree that the contract I am applying to enter with Old Mutual International will be subject to Isle of Man law and that the relevant Executive Wealthbuilder Account Terms and Conditions with the Terms reference details in section A for the account type I have selected, will be in the English language.
- If an Executive Wealthbuilder Account number is not shown in section A of this form, I request that the amount shown in section D, Additional 2. (a) Contribution, be invested as an initial contribution for accounts comprising an Old Mutual International Executive Wealthbuilder Account, and request Old Mutual International to issue the accounts in my name, jointly with the other applicants, if any; or
 - I request that the amount shown in section D, Additional Contribution, be invested as additional contributions for the Executive Wealthbuilder (b) Account currently in force bearing account numbers consisting of the Executive Wealthbuilder Account number, as shown in section A of this form, followed by two or more digits.
- I declare to the best of my knowledge and belief the statements made in this application, and any related documents, are true and complete and that 3. I have not concealed any material fact.
- I confirm that each life assured (or parent where parental consent is required) consents to this application and agrees to my acting as their agent for the purpose of the information provided in this application. 4.
- I authorise and request Old Mutual International to effect the transaction detailed in section G and confirm that such payments will discharge 5. Old Mutual International from all liabilities and claims arising from those regular withdrawals. I understand that this authority supersedes any authority previously given.
- I confirm that I am not resident in Hong Kong, Singapore, UK or the United States of America or its territories. If I become resident in the United States of America or its territories, I understand that Old Mutual International will not accept any further contributions until after I cease to be a resident of the 6 United States of America or its territories
- I consent to my personal data being used in accordance with the Data Privacy Notice.
- I understand that Old Mutual International accepts no responsibility for the consequences of sending documentation to the correspondence address entered in this application form, or to an address notified subsequently, and that Old Mutual International reserves the right to send correspondence to my residential address where regulations prevent it being sent to any other address. I confirm that I am aware of the tees (if applicable) payable for the chosen investment(s) held in my policy. I understand that the fees exist partly to meet the promotion and distribution expenses of the product, including commission paid to a financial adviser and/or fund adviser.
- 9.
- 10. I confirm that I have received a copy of the brochure, entitled 'Individual Investment Freedom', 'the Executive Wealthbuilder Account at a glance', 'guidance notes for starting or adding to your Old Mutual International Executive Wealthbuilder Account - for the individual investor', 'Executive Wealthbuilder Illustration', and the 'policy terms details of your Executive Wealthbuilder Account (ref EWA4 for life and EWR2 for redemption)' and I have had the opportunity of reading them when completing this application form
- 11. I appoint the financial adviser specified on page 1 of my application form to act on my behalf in accordance with the Policy Terms and Conditions.
- 12. The premium detailed in this application and any other premium tendered in respect of this application are derived solely from the source of funding provided and have, where required, been declared to the relevant tax authority in my country of residence for taxation.
- 13. The application for an Old Mutual International policy is not being made for the purpose of concealing funds, assets or wealth with a view to the evasion of any taxes I am obliged to pay.

Please enter the country in which this application form was completed.

This application must be completed by the applicant(s) unless you have asked your financial adviser to complete it.

Did you complete this application form yourself? (\checkmark)

If No, did a third party, such as your financial adviser, complete it on your behalf? (\checkmark)

By signing this declaration you confirm that you have read through the above declaration and, if a third party has completed the application form on your behalf, that all the information provided in it is correct.

Yes

) Yes

No

No

Н	DECLARATION AND APPLICATION (CONTINUED)		
Signo applie	ture of cant 1	Date	D D M M Y Y Y Y
	ture of cant 2	Date	D D M M Y Y Y
	ture of cant 3	Date	D D M M Y Y Y Y
	ture of cant 4	Date	

Copies of the Policy Terms and Conditions and/or this completed application form are available from Old Mutual International on request.

I VERIFICATION OF CUSTOMER IDENTITY – FINANCIAL ADVISER/SUITABLE CERTIFIER TO COMPLETE

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES.

Please note that we will not be able to set the Executive Wealthbuilder Account live until this section has been completed and you have provided the necessary identification documentation. Please refer to section J of the guidance notes for more information on certifying documents.

Outlined below are the standard minimum requirements. In some circumstances we may need additional information.

We require one document from part A and one from part B. If neither document in part A is available, please provide the reason why and provide two formal documents showing appropriate personal details and verifiable reference numbers from part B.

Identification documentation should be current and valid. Evidence of address should be the latest available, but no more than three months old.

▶ Please tick (✓) to indicate the identification you have supplied for each individual party to the Executive Wealthbuilder Account.

PART A		INDIVIDUAL WHOSE IDENTITY IS BEING VERIFIED
1. Name		
Capacity		
Type of document	O Passport	National identity card
Document reference		
2. Name		
Capacity		
Type of document	O Passport	National identity card
Document reference		
3. Name		
Capacity		
Type of document	O Passport	National identity card
Document reference		
4. Name		
Capacity		
Type of document	O Passport	National identity card
Document reference		

PART A - REASON WHY DOCUMENTS ARE NOT PROVIDED (IF APPLICABLE)

I VERIFICATION OF CUSTOMER IDENTITY – FINANCIAL ADVISER/SUITABLE CERTIFIER TO COMPLETE (CONTINUED)

PART B - PROOF OF RESIDENCE		E IDENTITY IS BEING	VERIFIED	
These must be less than three months old 1. A recent utility, rates or council tax bill (mobile/cell phone bills not acceptable)		2	3	4
 A recent mortgage statement, giving the residential address 	\bigcirc	\bigcirc	\bigcirc	\bigcirc
3. An extract from the official register of electors	\bigcirc	\bigcirc	\bigcirc	\bigcirc
 A state pension, benefit or other government produced document showing benefit entitlement 	\bigcirc	\bigcirc	\bigcirc	\bigcirc
5. A recent tax assessment document	\bigcirc	\bigcirc	\bigcirc	\bigcirc
6. A recent account statement from bank or credit card (store cards not acceptable)	\bigcirc	\bigcirc	\bigcirc	\bigcirc
7. Proof of ownership or rental of the residential address	\bigcirc	\bigcirc	\bigcirc	\bigcirc

If there are more than four applicants, please photocopy this page, attach the details with this application form and tick here (1)

▶ If additional pages are added, each separate page must be initialled by all applicants.

Please contact us if you are unable to supply any of the above-mentioned documents.

PART C - FINANCIAL CRIME - RISK RATING

As part of the global efforts to prevent financial crime, Isle of Man authorised life companies adopt a 'risk-based approach' when obtaining evidence of the origin of a client's wealth. This does not question the quality of the investment. It is a safeguard that will benefit the industry as a whole and ultimately protect the client as an investor.

In order to decide what risk rating applies to your client's investment you need to take into account the following factors:

(a) Your client's country of residence

(b) Which country the contribution is paid from

(a) + (b) = Total risk rating

Please refer to the origin of wealth guidelines for information on how to complete the table below.

Applicant	Client's country of residence (a)	Country that the contribution is paid from (b)	Total risk rating
Example	Switzerland (1)	UK (1)	2

This procedure is for guidance only. Each new application, or application for an additional investment, will be reviewed individually.

Old Mutual International reserves the right to request further documentation if it is felt appropriate.

If you are unsure about a particular application, please contact your Old Mutual International consultant, or alternatively contact Old Mutual International's Sales Support team directly on +44 (0)1624 655 009.

J FINANCIAL ADVISER/SUITABLE CERTIFIER DECLARATION

THIS SECTION MUST BE COMPLETED IN ALL INSTANCES.

DECLARATION BY THE FINANCIAL ADVISER/SUITABLE CERTIFIER

I declare that:

- I have verified the contents of the original documents where copies have been enclosed and confirm that they are true copies of the original
- I have taken reasonable steps to make sure that the funding is legitimate and in line with the client's circumstances
- To the best of my knowledge and belief, all the information provided in and with this application is true and complete and was obtained from the applicant(s) who is/are of good standing. I also confirm I will provide further information if required
- I have not made any changes to the application form after the client signed it.

I confirm that I gave advice concerning this investment to the applicant(s) in	Country
on	D D M M Y Y Y Y
Please enter allocation rate for this Executive Wealthbuilder Account	
I confirm that, if applicable, all information provid	led was received directly from the applicant(s).
Regulatory body authorisation number (if applicable)	
Regulator name	
Old Mutual International account number	
Full name of introducer firm	
Full name of financial adviser /suitable certifier	
Signature of financial adviser /suitable certifier	
Date	D D M M Y Y Y
Financial adviser stamp	

Calls may be monitored and recorded for training purposes and to avoid misunderstandings. Old Mutual International Isle of Man Limited is registered in the Isle of Man under number 24916C. Registered and Head Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles. Phone: +44 (0)1624 655 555 Fax: +44 (0)1624 611 715. Licensed by the Isle of Man Financial Services Authority.

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PAYMENT INSTRUCTIONS Κ

REMITTING BANK DETAILS

PLEASE NOTE THAT THE PA To: The Manager Name of your bank	AYMENT FREQUENCY BELOW MUST E	E THE SAME AS YOUR REGU	LAR CONTRIBUTION FREQUENCY.
Address of your bank			
	Postcode	Country	
Please transfer the sum of		£/US\$/€* (Amount of lump sum of	contribution plus any initial regular contribution.)
	Contributions will be in the same currency as your o	ccount. *delete where appropriate	
On the	D D M M Y Y Y Y	(Please allow at least 14 days to set	t up.)
Followed by		£/US\$/€* (Please quote account	t number with each payment.)
on the same day: (🗸)	Monthly Quarterly	Half-yearly Yearly	
	t of the payment, together with any	bank and agent bank's ch	narges, to my/our account.
Full name(s)			
Bank account holder (Name as stated on bank account)			
Bank account number/IBAN*			
Sort code** (if applicable)			
SWIFT or BIC code** (if applicable)			
Personal address of bank account holder(s)			
	Postcode		
	Country		
Signature(s) of		Date	
bank account holder(s)		Date	
	account number and is always used in conjunction with njunction with a bank account number. A SWIFT code i I.	a bank identifier code (BIC).	
► The contribution payme	ents must come from an account hel	d in the name of the applice	ant(s).

BANKING DETAILS

STERLING PAYMENTS						
From UK bank Sort code: Bank:	s s (CHAPS* payments) 55-91-00 Isle of Man Bank, East Region,	From non-UK SWIFT code: Sort code:	55-91-00			
Beneficiary: IBAN***: BACS payment account number:	2 Athol Street, Douglas, Isle of Man Old Mutual International Isle of Man Limited GB89NWBK 559100 10934022 10934022	Bank: Beneficiary: IBAN:	Isle of Man Bank, East Region, 2 Athol Street, Douglas, Isle of Man Old Mutual International Isle of Man Limited GB89NWBK 559100 10934022			
OTHER CURRENCY PAYMENTS (SWIFT PAYMENTS)						

Payments should be made to Old Mutual International Isle of Man Limited's accounts held with National Westminster Bank, London.							
	SWIFT code: Bank: IBAN:	NWBKGB2LXXX National Westminster Bank, London (select as applicable, see below)	2. Euro	IBAN – GB11 NWBK 607202 57005028			
	1. US dollar	IBAN - GB69 NWBK 607301 67505139					

CHAPS is an electronic bank-to-bank same day value payment made in the UK in either pound sterling (£) or euro (€).
 SWIFT is an acronym for Society for Worldwide Interbank Financial Telecommunications.
 IBAN stands for international bank account number and is always used in conjunction with a bank identifier code (BIC).

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K PAYMENT INST		ITINUED)			
CREDIT CARD PAYMENT	AUTHORITY				
PLEASE READ THE FOLLO	WING CAREFULLY	AND COMPLETE	ALL SECTIONS.		
Account number (to be completed by Old Mutual In I hereby authorise Old M in the following currency	Autual Internationa		ontribution payment	2 ()	US\$ ○ €
Please note that an additional For international payments, ple Please collect the contribution fi	ase advise your credit c	ard company of pay			
		🔵 Delta	Eurocard	MasterCard	🔵 Visa
Contribution amount			Initial collection date If blank, initial collection will l	D D M M	
Please tick appropriate (🗸)					
Frequency	 All regular contri 	butions	Initial regular contribution	ons only Cum	p sum contributions
Please check the frequency for your product.	Monthly	Quarterly	Half-yearly	🔵 Yearly	
Regular collection date	🔵 1st	🔵 7th) 15th	🔵 25th	
Where the date is left blank, c	ollections will be taken	on the nearest subs	equent date after commen	cement.	
Name of Old Mutual International accountholder(s)					
Name of cardholder					
Address of cardholder (If the address on this authority form differs to the accountholder(s) current residential or correspondence address, please provide an explanation).)				
Card number					
Expiry date	M M Y Y				
Card issuer (For example bank name)					
Country of issue					
Signature of cardholder			Do	ate D D M N	V Y Y Y Y
 Old Mutual International reserve in advance. Payment by credit card is not pe This form authorises Old Mutual collection date. Maximum amount to be collected 	es the right to vary the service ermitted where the credit care International to collect up to d by credit card is £3,500, 1	e charge at any time. Sh d is American Express. a maximum of three mo JS\$5,000, or €5,000 p	ould the service charge be incre onths' outstanding premiums fror er payment transaction.	them of the expected payments to ased, Old Mutual International w n the above credit card on the ac unt to Old Mutual International Pr	ill notify the cardholder

- King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.
- The renewal collection date will be the date specified above, or if it is not a working day, a default date will automatically be chosen by Old Mutual International if no date is specified.
- 8. On your credit card statement the narrative will read OMI for your contribution payment.
- 9. If you are, or you become, a resident of the United States of America or its territories, then Old Mutual International may refuse to accept payment or continue to collect payment.

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APPLICANT CHECKLIST

You should use this checklist to ensure you have completed all sections of the application form. Any missing information may delay your application.

Section A – Type of application

If this is a new investment, have you indicated which type of account you are applying for?

If this is an additional investment, have you provided details of your existing account?

Section B - Details of all individual applicant(s)

Have you filled in the details of all individual applicants? (Each applicant must also sign the declaration in section H)

If you, the applicant, are going to be a life assured, have you ticked this option?

Section C – Details of the life/lives assured (if different from applicant(s))

If you are applying for the life assurance version of the Executive Wealthbuilder Account and the life/lives assured are different to the applicant(s), have you completed the details of all additional lives assured?

(You do not need to complete this section if you are applying for the capital redemption version of the Executive Wealthbuilder Account.)

Section D - Contribution details

Have you selected the currency and payment method for your contribution payment?

Have you stated the amount to be invested?

(The minimum initial investment amount is £5,000 for single contribution payments, £200 for regular monthly contribution payments and £600 for quarterly regular contribution payments, half-yearly £1,200 and yearly £2,400 (or other currency equivalent). If you are paying by cheque, please make the cheque or standing order payable to Old Mutual International Isle of Man Limited.)

Section E – Origin of wealth

This section must be completed in all instances.

Have you completed your bank details?

Have you provided your employer's details (or if you are retired your employer before retirement)?

Have you provided full details of your origin of wealth?

Section F – Investment choice

Have you filled in the investment choice table?

(The investment choice is needed for all applications.)

Section G - Regular withdrawals (optional)

Have you selected the withdrawal amount, income, frequency and the date of your first payment?

For additional investments only, have you selected if your withdrawal should be based on your original or total contribution invested in the account?

Have you completed the payee details?

Section H – Declaration and application Has each applicant read the Data Privacy Notice?

Has each applicant read and signed the declaration?

FINANCIAL ADVISER/SUITABLE CERTIFIER CHECKLIST

Section I - Verification of customer identity

Have you completed parts A, B and C with the relevant details for each applicant?

Have you enclosed the relevant identification documentation with this application form?

Section J – Financial adviser/suitable certifier declaration

Have you completed all the details in this section?